

Applicant or Patentee:

Ludwig STOCKL

For:

Process and device for the parallel
preparation of at least 4n oligonucleotides

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act
on behalf of the concern identified below:

NAME OF CONCERN METABION Gesellschaft für angewandte Biotechnologie mbH
ADDRESS OF CONCERN Lena-Christ-Str. 44, 82152 Planegg-Martinsried, Germany

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled Process and device for the parallel preparation of x1 inventor(s) Ludwig STOCKL
described in: x1 at least 4n oligonucleotides

- ☒ the specification filed herewith
☐ application serial no. _____, filed _____
☐ patent no. _____, issued _____

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).
*Note: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

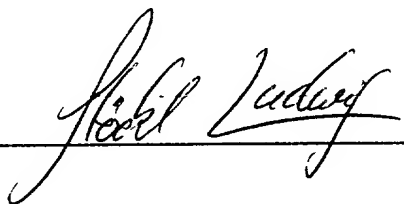
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING LUDWIG STOECKL

TITLE OF PERSON OTHER THAN OWNER MANAGING DIRECTOR

ADDRESS OF PERSON SIGNING STAHLGRUBER WOHNPARK 8 85586 PERNING

SIGNATURE  DATE 21/09/01

DECLARATION AND
POWER OF ATTORNEY FOR PATENT APPLICATION

Docket No. 262-011815

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Process and device for the parallel preparation of at least 4n oligonucleotides
the specification of which

- (check one) ☒ is attached hereto.
☐ was filed on _____ as Application Serial No. _____
and was amended on _____ (if applicable).
☐ was filed as PCT International Application No. _____ and was
amended under PCT Article 19 on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) on which priority is claimed:

Prior Foreign/PCT Application(s)	Priority Claimed
<u>199 19 607.9</u> <u>Germany</u> <u>29 April 1999</u>	<input checked="" type="checkbox"/> <input type="checkbox"/>
(Application No.) (Country/PCT) (Day/Month/Year Filed)	Yes No
_____ (Application No.)	<input type="checkbox"/> <input type="checkbox"/>
_____ (Country/PCT)	Yes No
_____ (Day/Month/Year Filed)	

I hereby claim the benefit under Title 35, United States code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

SECRET

I hereby declare that all statement made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

William H. Logsdon, Reg. No. 22,132	John W. McIlvaine, Reg. 34,219
Russell D. Orkin, Reg. No. 25,363	Julie W. Meder, Reg. No. 36,216
David C. Hanson, Reg. No. 23,024	Paul M. Reznick, Reg. No. 33,059
Richard L. Byrne, Reg. No. 28,498	Kent E. Baldauf, Reg. No. 25,826
Blynn L. Shideler, Reg. No. 35,034	Randall A. Notzen, Reg. No. 36,882
Lester N. Fortney, Reg. No. 38,141	James G. Porcelli, Reg. No. 33,757
Barbara E. Johnson, Reg. No. 31,198	Christian E. Schuster, Reg. No. 43,908
Kent E. Baldauf, Jr., Reg. No. 36,082	Kirk M. Miles, Reg. No. 37,891
Frederick B. Ziesenheim, Reg. No. 19,438	Dean E. Geibel, Reg. No. 42,570
Jessica M. Schroth, Reg. No. 47,102	Thomas J. Clinton, Reg. No. 40,561
Nathan J. Prepelka, Reg. No. 43,016	J. Matthew Pritchard, Reg. No. 46,228

Ludwig STÖCKL

Residence

Country of Citizenship

Post Office Address

Same as residence